

# Generalizing canonical extension to the categorical setting

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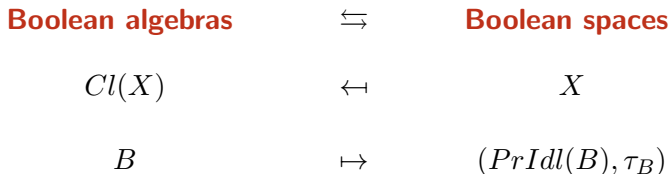
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- 1 Introduction to duality theory and canonical extension
- 2 Semantics for coherent first order logic ( $\wedge, \vee, \perp, \top, \exists$ ):
  - Coherent categories
  - Coherent hyperdoctrines
- 3 Canonical extension in the categorical setting
- 4 Relate to Makkai's topos of types

# Stone duality

Boolean algebras: structures  $(B, \wedge, \vee, \neg, 0, 1)$ .

Boolean spaces: compact, totally disconnected, Hausdorff spaces.



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$$\begin{array}{ccc} \text{Boolean algebras} & \rightleftharpoons & \text{Boolean spaces} \\ Cl(X) & \leftarrow & X \\ B & \mapsto & (PrIdl(B), \tau_B) \end{array}$$

**Stone Representation Theorem:** every Boolean algebra is embeddable in a powerset algebra.

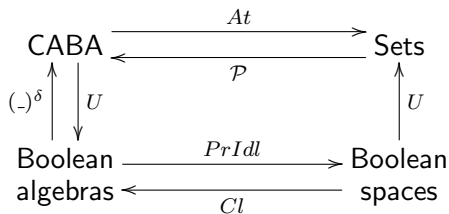
Proof: for a Boolean algebra  $B$ ,

$$B \cong Cl(PrIdl(B)) \hookrightarrow \mathcal{P}(PrIdl(B)).$$

# Stone duality and canonical extension

**Canonical extension:** algebraic description of topological duality.

Study  $B \cong Cl(PrIdl(B)) \hookrightarrow \mathcal{P}(PrIdl(B)) = B^\delta$ .



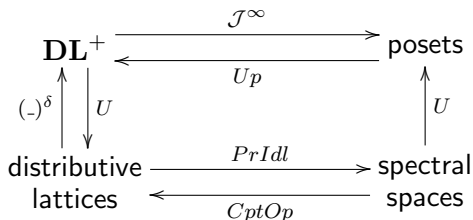
CABA = complete and atomic Boolean algebras.

Boolean spaces = compact, totally disconnected Hausdorff spaces.

# Canonical extension of distributive lattices

**Canonical extension:** algebraic description of topological duality.

Study  $L \cong CptOp(PrIdl(L)) \hookrightarrow Up(PrIdl(L)) = L^\delta$ .



$\mathbf{DL}^+$  = completely distributive algebraic lattices.

spectral spaces = sober spaces with a basis of compact opens.

# Canonical extension of distributive lattices

$\mathbf{DL}^+$  = completely distributive algebraic lattices.

Canonical extension is left adjoint to  $\mathbf{DL}^+ \hookrightarrow \mathbf{DL}$ .

**Universal characterization** of canonical extension:

$$\begin{array}{ccc} L & \xrightarrow{e} & L^\delta \\ & \searrow f & \downarrow \tilde{f} \\ & & K \end{array}$$

where  $L \in \mathbf{DL}$  and  $K, L^\delta \in \mathbf{DL}^+$ .

# Semantics for coherent logic

**Coherent logic** = fragment of first order logic in  $\wedge, \vee, \perp, \top, \exists$ .

A **coherent category** is a category  $\mathbf{C}$  satisfying

- 1  $\mathbf{C}$  has finite limits;
- 2  $\mathbf{C}$  has stable finite unions;
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**Remark:** all subobject posets are distributive lattices.

**Idea:** apply canonical extension to those separately.

# Coherent categories and coherent hyperdoctrines

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A **coherent hyperdoctrine** is a functor  $P: \mathbf{B}^{op} \rightarrow \mathbf{DL}$  s.t.

- 1  $\mathbf{B}$  has finite limits;
- 2 for all  $A \xrightarrow{\alpha} B$  in  $\mathbf{B}$ ,  $P(\alpha)$  has a left adjoint satisfying Frobenius and Beck-Chevalley.

# Coherent categories and coherent hyperdoctrines

**Proposition:** there is a 2-categorical adjunction

$$\mathcal{A}: \mathbf{CHyp} \rightleftarrows \mathbf{Coh}: \mathcal{S},$$

where  $\mathcal{A} \dashv \mathcal{S}$  and  $\mathcal{A}(\mathcal{S}(\mathbf{C})) \simeq \mathbf{C}$ .

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For  $\mathbf{C} \in \mathbf{Coh}$ ,  $\mathcal{S}(\mathbf{C}) = \mathcal{S}_{\mathbf{C}}: \mathbf{C}^{op} \rightarrow \mathbf{DL}$   
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$$\begin{aligned} \text{For } \mathbf{C} \in \mathbf{Coh}, \quad \mathcal{S}(\mathbf{C}) = \mathcal{S}_{\mathbf{C}}: \mathbf{C}^{op} &\rightarrow \mathbf{DL} \\ A &\mapsto \text{Sub}_{\mathbf{C}}(A) \end{aligned}$$

For  $P: \mathbf{B}^{op} \rightarrow \mathbf{DL}$ ,  $\mathcal{A}(P)$  is the category with:

objects are pairs  $(A, a)$ , where  $A \in \mathbf{B}$ ,  $a \in P(A)$ ;

a morphism  $(A, a) \rightarrow (B, b)$  is an element  $f \in P(A \times B)$   
which is a functional relation  $(A, a) \rightarrow (B, b)$ .

# Canonical extension of coherent hyperdoctrines

**Recall:** canonical extension for DL's is a functor  $\mathbf{DL} \xrightarrow{(-)^\delta} \mathbf{DL}^+$ .

## Definition

For a coh. hyperdoctrine  $P: \mathbf{B}^{op} \rightarrow \mathbf{DL}$  we define:

$$P^\delta: \mathbf{B}^{op} \xrightarrow{P} \mathbf{DL} \xrightarrow{(-)^\delta} \mathbf{DL}.$$

## Proposition

For a coh. hyperdoctrine  $P$ ,  $P^\delta$  is again a coh. hyperdoctrine.

**Proof:** check that, for all  $A \xrightarrow{\alpha} B$  in  $\mathbf{B}$ ,  $P^\delta(\alpha)$  has a left adjoint satisfying BC and Frobenius.

# Canonical extension of coherent categories

We have:

- adjunction  $\mathcal{A}: \mathbf{CHyp} \rightleftarrows \mathbf{Coh}: \mathcal{S}, \mathbf{C} \simeq \mathcal{A}(\mathcal{S}_{\mathbf{C}})$ ;
- for  $P \in \mathbf{CHyp}$ ,  $P^\delta: \mathbf{B}^{op} \xrightarrow{P} \mathbf{DL} \xrightarrow{(-)^\delta} \mathbf{DL}$ .

## Definition

For a coherent category  $\mathbf{C}$  we define:

$$\mathbf{C}^\delta = \mathcal{A}(\mathcal{S}_{\mathbf{C}}^\delta).$$



# Canonical extension of coherent categories

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## Definition

For a coherent category  $\mathbf{C}$  we define:

$$\mathbf{C}^\delta = \mathcal{A}(\mathcal{S}_{\mathbf{C}}^\delta).$$

## Proposition

For a distributive lattice  $\mathbf{L}$ ,  $\mathcal{A}(\mathcal{S}_{\mathbf{L}}^\delta) \simeq \mathbf{L}^\delta$ .

# Canonical extension of coherent categories

Properties of  $\mathbf{C}^\delta = \mathcal{A}(\mathcal{S}_\mathbf{C}^\delta)$ :

- 1 subobject lattices are in  $\mathbf{DL}^+$ ;
- 2 pullback morphisms are complete lattice homomorphisms.

$\mathbf{Coh}^+$  = coherent categories satisfying (1) and (2).

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**Universal characterization:**

$$\begin{array}{ccc} \mathbf{C} & \xrightarrow{M_0} & \mathbf{C}^\delta \\ & \searrow M & \downarrow \tilde{M} \\ & & \mathbf{E} \end{array}$$

where  $\mathbf{C} \in \mathbf{Coh}$ ,  $\mathbf{E}, \mathbf{C}^\delta \in \mathbf{Coh}^+$ ,  $M$  a coherent functor satisfying:

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where  $\mathbf{C} \in \mathbf{Coh}$ ,  $\mathbf{E}, \mathbf{C}^\delta \in \mathbf{Coh}^+$ ,  $M$  a coherent functor satisfying:

for all  $A \xrightarrow{\alpha} B$  in  $\mathbf{C}$ ,  $\rho$  (prime) filter in  $\mathcal{S}_C(A)$ ,

$$\exists_{M(\alpha)} (\bigwedge \{M(U) \mid U \in \rho\}) \cong \bigwedge \{\exists_{M(\alpha)}(M(U)) \mid U \in \rho\}.$$

# Canonical extension of Heyting categories

**Heyting categories** provide semantics for first order logic.

Canonical extension interacts well with Heyting structure:

- for a coherent category  $\mathbf{C}$ ,  $\mathbf{C}^\delta$  is a Heyting category;
- for a morphism of Heyting categories  $F: \mathbf{C} \rightarrow \mathbf{D}$ ,

$$F^\delta: \mathbf{C}^\delta \rightarrow \mathbf{D}^\delta$$

is again a morphism of Heyting categories.

**Topos of types** was introduced by Makkai in 1979 as:

- 'a reasonable codification of the 'discrete' (non topological) syntactical structure of types of the theory',
- a tool to prove representation theorems,
- 'conceptual tool meant to enable us to formulate precisely certain natural intuitive questions'.

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## **Alternative construction:**

The functor  $\mathcal{S}_{\mathbf{C}}^{\delta}: \mathbf{C}^{op} \rightarrow \mathbf{DL}$  is an internal frame in  $Sh(\mathbf{C}, J_{coh})$ .

Then  $Sh(\mathcal{S}_{\mathbf{C}}^{\delta}) \simeq T(\mathbf{C}) = \text{topos of types of } \mathbf{C}$ .

# Topos of types and morphisms

**Theorem:** for a coherent functor  $F: \mathbf{C} \rightarrow \mathbf{D}$ ,

- if  $F$  is conservative, then  $T(F): T(\mathbf{D}) \rightarrow T(\mathbf{C})$  is a geometric surjection;
- if  $F$  is a morphism of Heyting categories, then  $T(F): T(\mathbf{D}) \rightarrow T(\mathbf{C})$  is open.



# Topos of types and the class of models

For a distributive lattice  $L$ ,

$$\begin{aligned} \text{prime ideals of } L &= \text{lattice homomorphisms } L \rightarrow \mathbf{2} \\ &= \text{'models of } L\text{'}. \end{aligned}$$

$$L^\delta = \text{Up}(Mod(L)).$$

## Categorical analogue:

$Mod(\mathbf{C}) = \text{coherent functors } M: \mathbf{C} \rightarrow \mathbf{Set}.$

Study:  $\mathbf{Set}^{Mod(\mathbf{C})}$ .

We have to restrict to an appropriate subcategory  $\mathcal{K}$  of  $Mod(\mathbf{C})$ .

**Question:** How does  $\mathbf{Set}^{\mathcal{K}}$  relate to  $T(\mathbf{C}) = Sh(\mathcal{S}_{\mathbf{C}}^\delta)$ ?

# Topos of types and the class of models

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Evaluation functor  $ev: \mathbf{C} \rightarrow \mathbf{Set}^{\mathcal{K}}$

$$\begin{array}{lcl} A & \mapsto & ev(A): \mathcal{K} \rightarrow \mathbf{Set} \\ & & M \mapsto M(A) \end{array}$$

Gives a geometric morphism  $\phi_{ev}: \mathbf{Set}^{\mathcal{K}} \rightarrow Sh(\mathbf{C}, J_{coh})$ .

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Gives a geometric morphism  $\phi_{ev}: \mathbf{Set}^{\mathcal{K}} \rightarrow Sh(\mathbf{C}, J_{coh})$ .

**Theorem:** the topos of types yields the hyper-connected localic factorization of  $\mathbf{Set}^{\mathcal{K}} \xrightarrow{\phi_{ev}} Sh(\mathbf{C}, J_{coh})$ :

$$\begin{array}{ccc} & & T(\mathbf{C}) \\ & \nearrow & \downarrow \\ \mathbf{Set}^{\mathcal{K}} & \xrightarrow{\phi_{ev}} & Sh(\mathbf{C}, J_{coh}) \end{array}$$

We have: notion of canonical extension for coherent categories

We would like to:

- Study the following diagram (where  $\mathcal{K} \subseteq \text{Mod}(\mathbf{C})$ ):

$$\begin{array}{ccc} & & T(C) \\ & \nearrow & \downarrow \\ \text{Set}^{\mathcal{K}} & \xrightarrow{\phi_{ev}} & \text{Sh}(\mathbf{C}, J_{coh}) \end{array}$$

- Apply the developed theory in the study of first order logics.
- In particular: study interpolation problems for first order logics, e.g. for  $\text{IPL} + (\phi \rightarrow \psi) \vee (\psi \rightarrow \phi)$ .